



INTELLECTUAL PROPERTY DISCLOSURE STATEMENT

1. In your business plan, you identify technology that you will practice under the award. To the best of your knowledge, will you need a license to any third party intellectual property to practice that technology under the award?

NOT APPLICABLE. (You do not need any license to practice the technology under the award.)

NO, (you are currently the owner of any intellectual property required to practice the technology.)

YES. (Any intellectual property required to practice the technology is owned by a third party.)

2. To the best of your knowledge, do you currently have the intellectual property either patents or license(s) necessary to practice the technology under the award?

YES. Please indicate how any license fees or royalties may affect the economic viability of your business plan: _____

_____.

NO.

3. Are you currently in the process of obtaining the intellectual property patents and/or license(s) necessary to practice the technology under the award?

YES. Please explain: _____
_____.

NO. Please explain: _____

_____.

NOT APPLICABLE. (You do not need any license to practice the technology under the award.)

4. **To the best of your knowledge, what is the likelihood that you will be able to obtain the intellectual property license(s) necessary to practice the technology under the award?**

LIKELY. Date by which you expect to obtain all necessary licenses: _____.

UNLIKELY. Please explain: _____

 _____.

UNKNOWN. Please explain: _____

 _____.

NOT APPLICABLE. (You do not need any license to practice the technology under the award.)

5. **If the intellectual property required to practice the technology under the award includes an issued patent, please identify the patent(s) by patent number, issue date, and owner. Include a one or two line descriptor of the subject of the patent.**

Patent No.	Issue Date	Owner

A listing of additional patents is attached.

6. **If the intellectual property required to practice the technology under the award includes any pending patent application, please identify the patent application(s) by serial number, filing date, and owner. Include a brief (one or two line descriptor of the subject of the pending application.**

Serial No.	Filing Date	Owner

A listing of additional patent applications is attached.

7. **To the best of your knowledge, is there any legal or regulatory barrier that may prevent or hinder the execution of your business plan?**

NO.

YES. Please explain: _____

 _____.